

Layer Guided SH-APM Sensors

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Overview

1. Acoustic Wave Sensor Principles

- QCM v SAW
- Vapour phase response
- Liquid phase response

2. Layer Guided Acoustic Waves

- Love waves v SH-APMs
- Generalized dispersion curve
- Mass sensitivity
- Group and phase velocity

3. Love Waves and Frequency

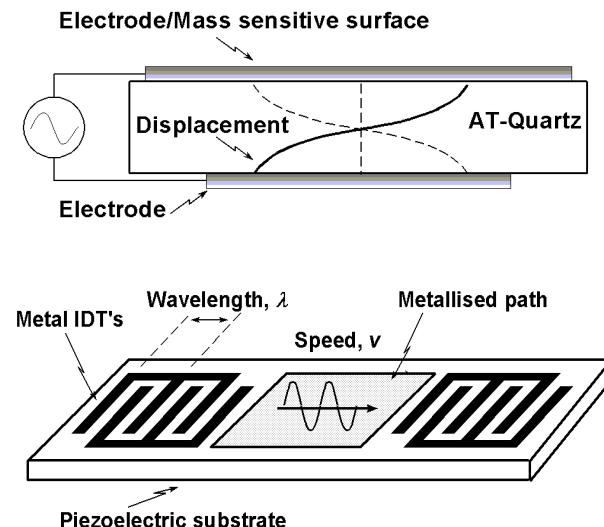
- Frequency dependence
- Frequency hopping

4. Summary

Sensing Principles

- Quartz Crystal Microbalance (QCM)

Thickness shear mode
oscillation



- Surface Acoustic Wave (SAW)

Mechanical wave travelling
along a surface

- Create Resonator or Measure
Impedance/Spectrum

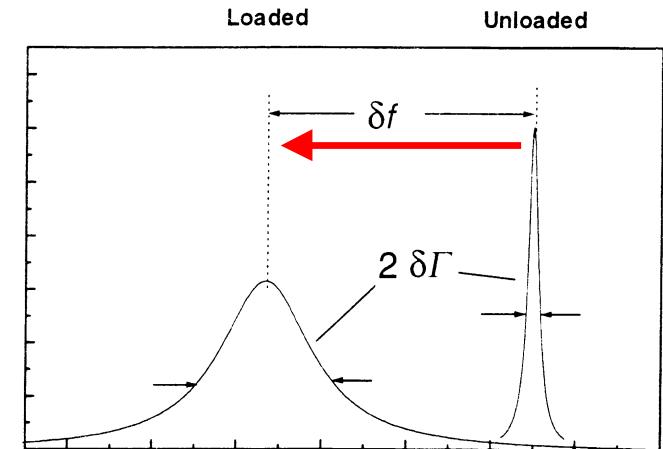
QCM/SAW determines oscillation freq. $v=f\lambda$

- Mass (thin film) loading

Main effect is change in frequency $\delta f \propto \rho f^2$

- Surface loading alters resonance

Mass loading reduces frequency
 Non-rigid mass (e.g. polymers)
 broadens resonance
 (senses shear modulus)



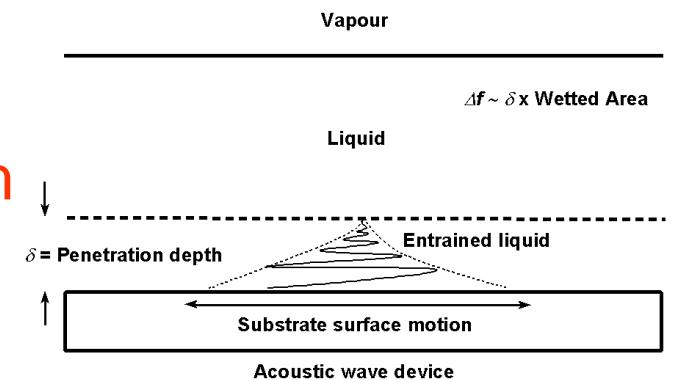
- Liquid loading and penetration depth

Need shear modes
 (QCM, Shear type SAWs)

Senses mass in penetration depth

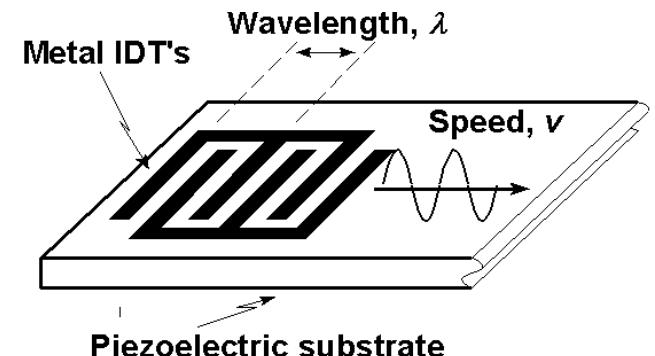
$$\delta f \propto \sqrt{(np)} f^{1/2}$$

For water penetration depth
 ~ 250 nm (at 5 MHz)

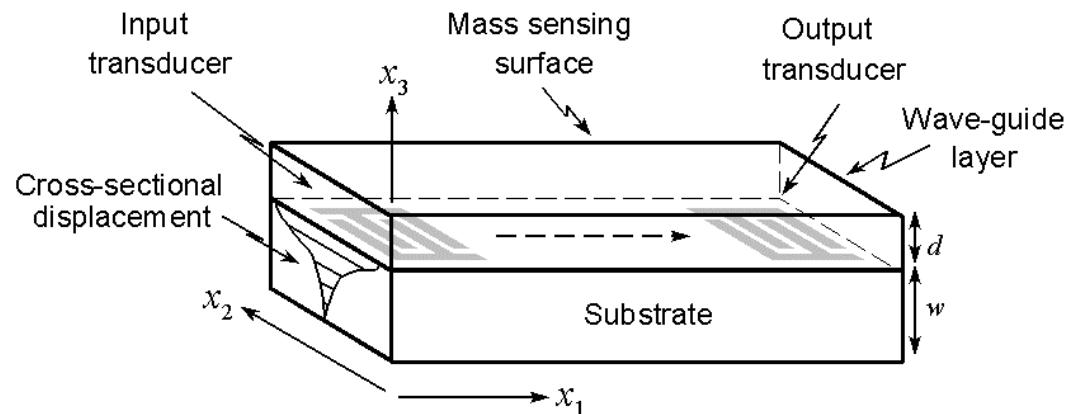


Love Waves v SH-APMs

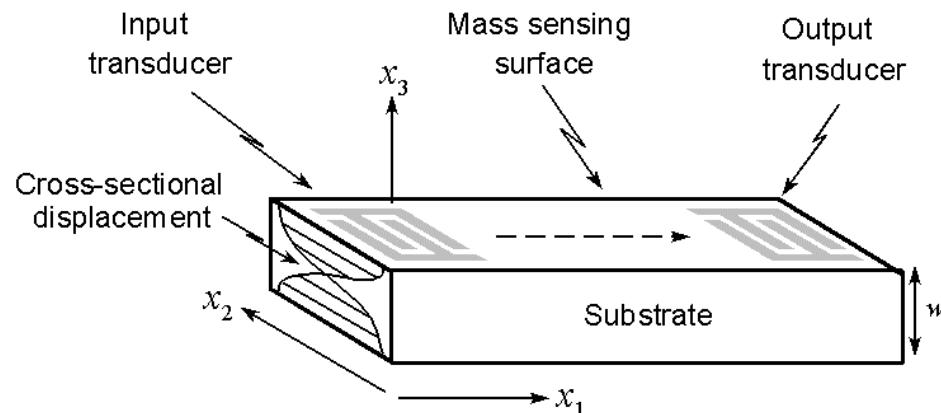
- Surface Acoustic Wave (SAW)



- Love Wave
Layer guided SH-SAW
with $v_l < v_s$



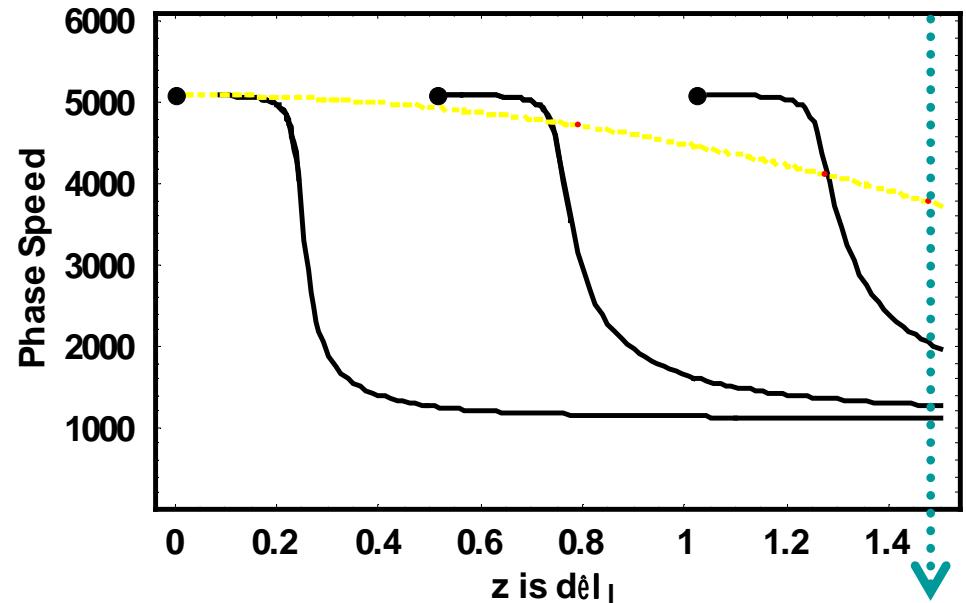
- SH-APM
Substrate resonance



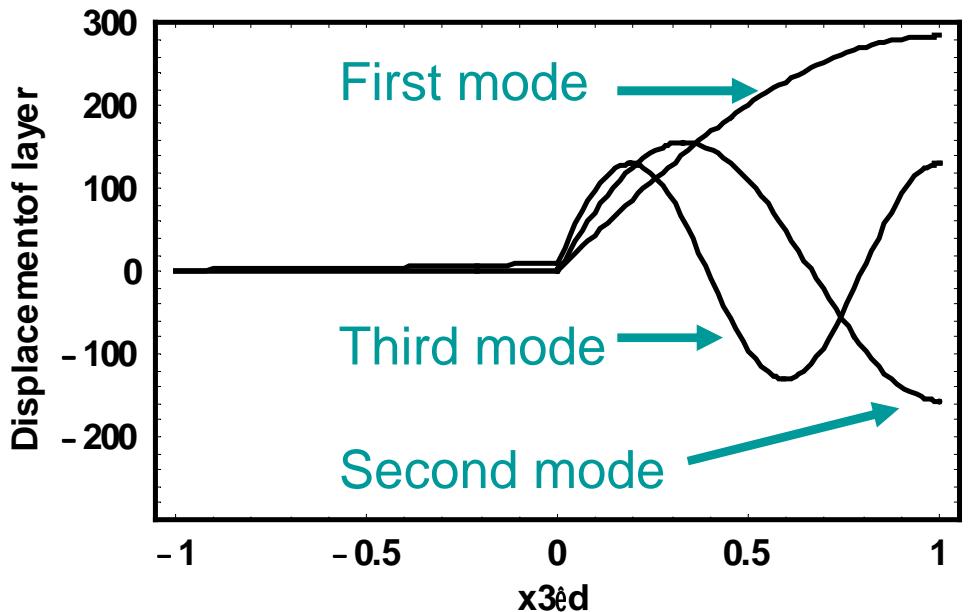
Love Waves

- Theoretical dispersion curve

(Insertion loss is unchanged by guiding layer)



- Displacements for first three modes ($z=1.3$)



Layer Guided SH-APMs

- Generalized Dispersion Equation¹

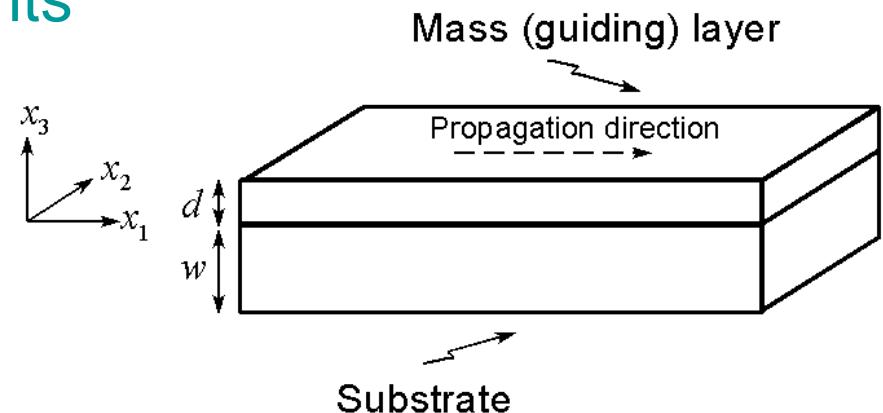
Layer and substrate displacements

$$\underline{u}_l = (0,1,0) \left[A e^{-jT_l x_3} + B e^{jT_l x_3} \right] e^{j(\omega t - k_l x_1)}$$

$$\underline{u}_s = (0,1,0) \left[C e^{T_s x_3} + D e^{-T_s x_3} \right] e^{j(\omega t - k_l x_1)}$$

Eqns of motion $\Rightarrow T_l$ and T_s

Boundary conditions \Rightarrow dispersion eqn



$$\tan(T_l d) = \xi \tanh(T_s w)$$

where $\xi = \mu_s T_s / \mu_l T_l$, $k_1 = (\omega/v)^{1/2}$ and $T_l^2 = \omega^2 \left(\frac{1}{v_l^2} - \frac{1}{v^2} \right)$ and $T_s^2 = \omega^2 \left(\frac{1}{v^2} - \frac{1}{v_s^2} \right)$

¹McHale et al, Accepted Europhys. Lett. (2002), J. Appl. Phys. (2002) 91, 5735-5744.

- Solutions

T_s real



$\nu < \nu_s$



"Love" Waves

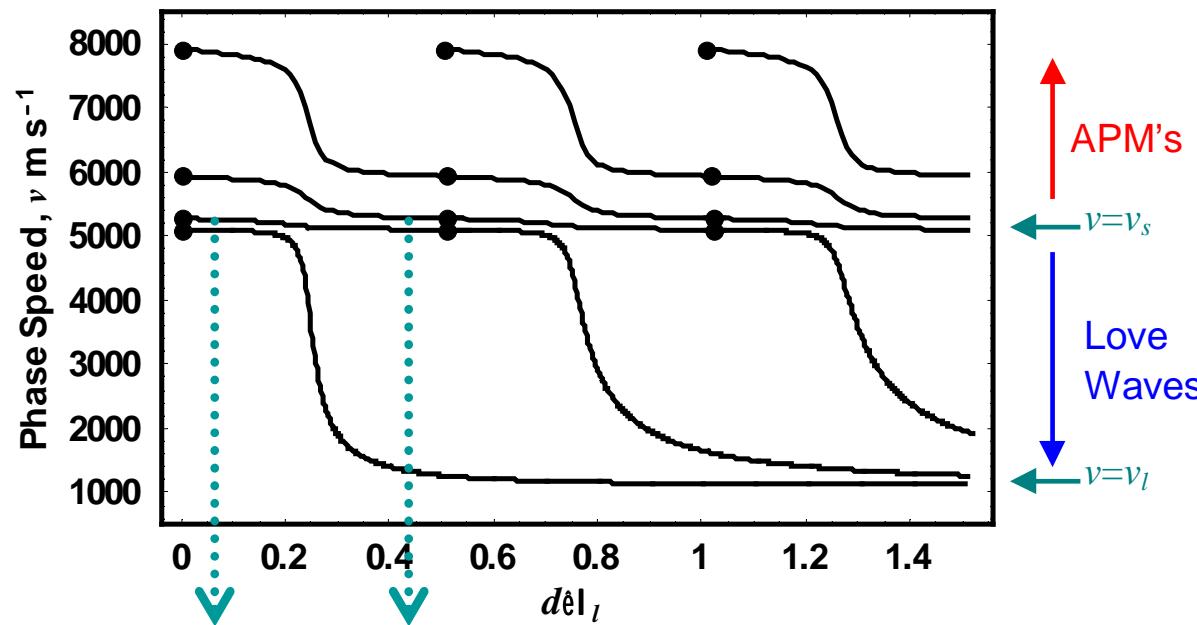
$T_s = jk_s$ with k_s real



$\nu > \nu_s$

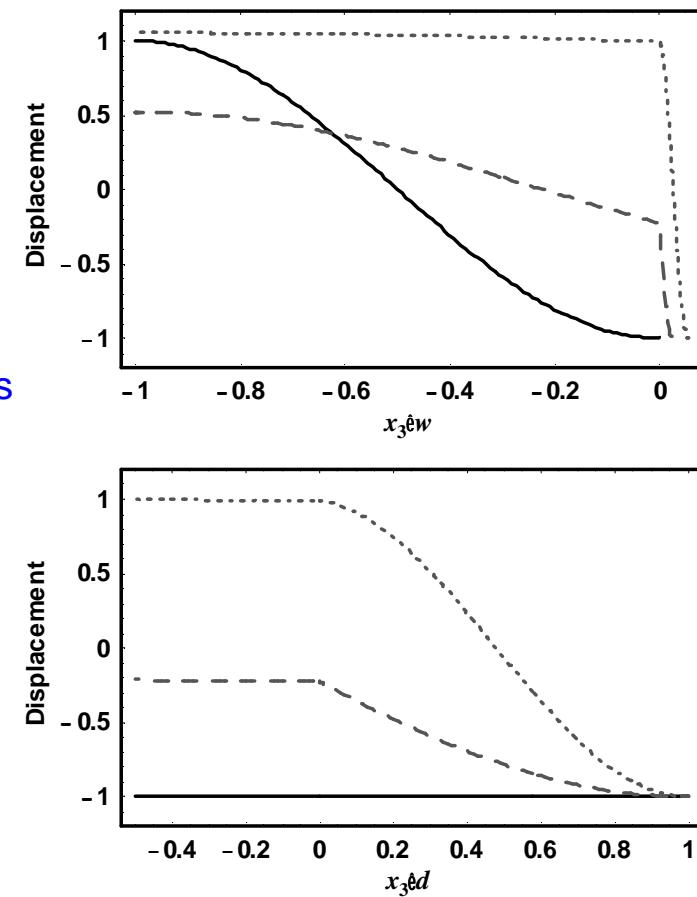


"Layer guided SH-APMs"



Points = Anti-node moving from substrate to layer

Evolution of 1st SH-APM



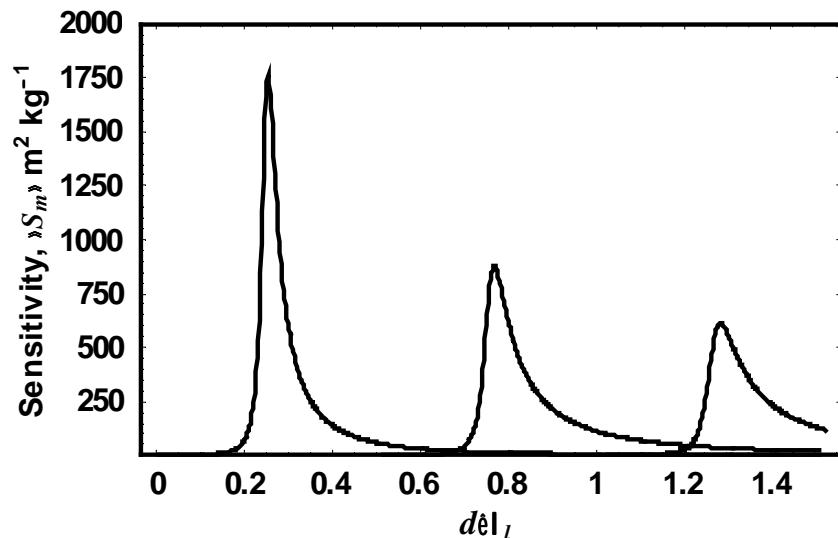
- Mass Sensitivity (Arb. d) - with 3 layer model¹

$$S_m = \lim_{\Delta m \rightarrow 0} \frac{1}{\Delta m} \left(\frac{\Delta v}{v_o} \right) \approx \frac{f_o}{\rho_l v_l} \left(\frac{d \log_e v}{dz} \right)_{z_0}$$

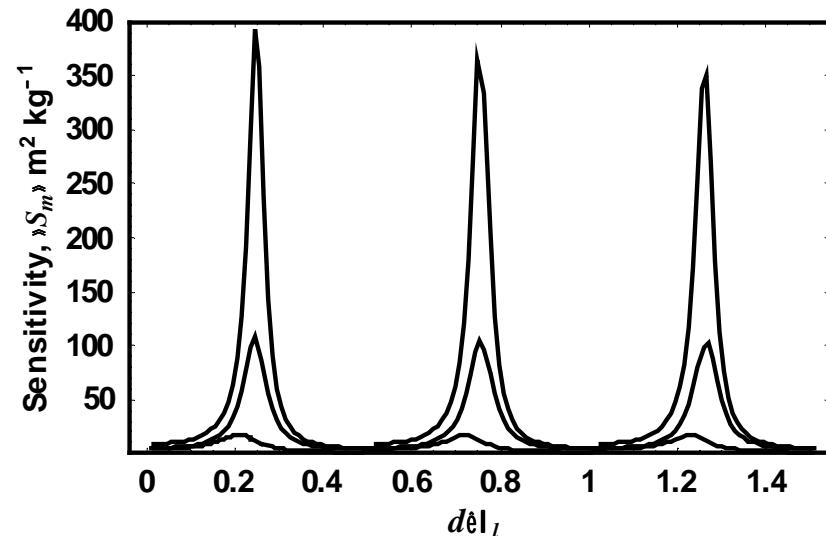
Δm is mass per unit area being sensed, $z=df/v_l$ is the normalized thickness

"Rigid" mass \Rightarrow Mass sensitivity is slope of dispersion

Love Waves



Layer-Guided SH-APMs



¹McHale *et al*, J. Appl. Phys. Accepted (2002).

Preliminary Experimental Data

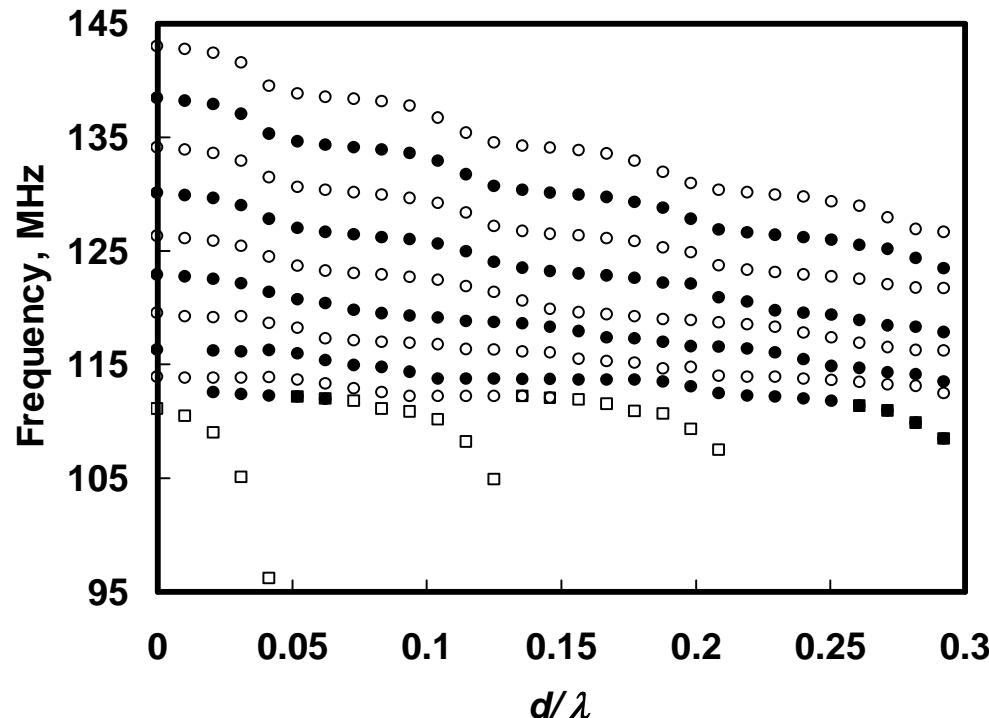
- **Dispersion Curve Experiment**

Prop. Orthog. to x -axis of thinned (200 μm) ST-Q substrate

110 MHz surface skimming bulk wave (SSBW)

SSBW \rightarrow Love wave by a spin-coated photoresist layer

Old mask so insertion loss high (axis is d/λ with λ =IDT period)



Dispersion and Group Velocity

- Guiding Layer Induced Dispersion¹

Phase velocity

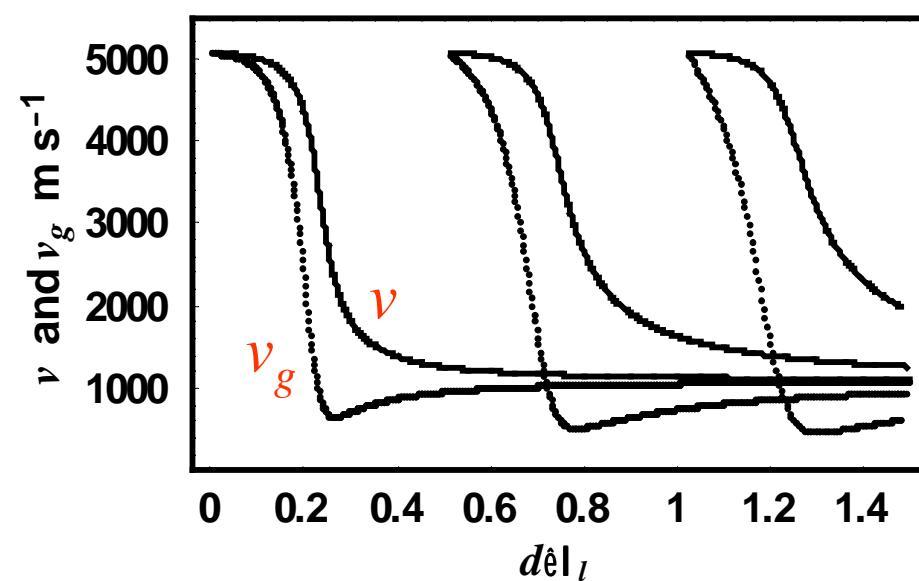
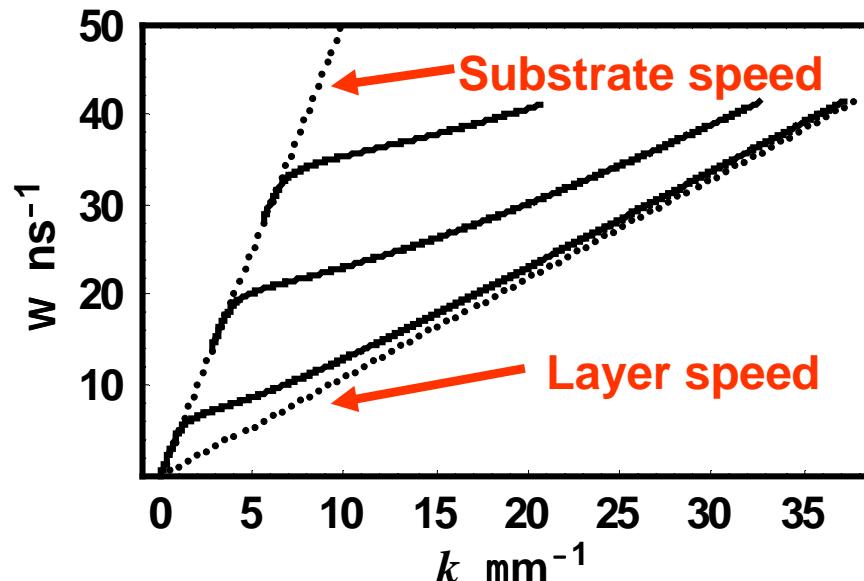
$$v = f\lambda \quad \text{or} \quad v = \omega/k$$

Group velocity

$$v_g = d\omega/dk$$

Group velocity is slope of the (ω, k) dispersion curve

Example 0.25 μm polymer guiding layer on Quartz with $w \rightarrow \infty$



¹Submitted to J. Appl.Phys. (2002)

- Mass Sensitivity and Dispersion

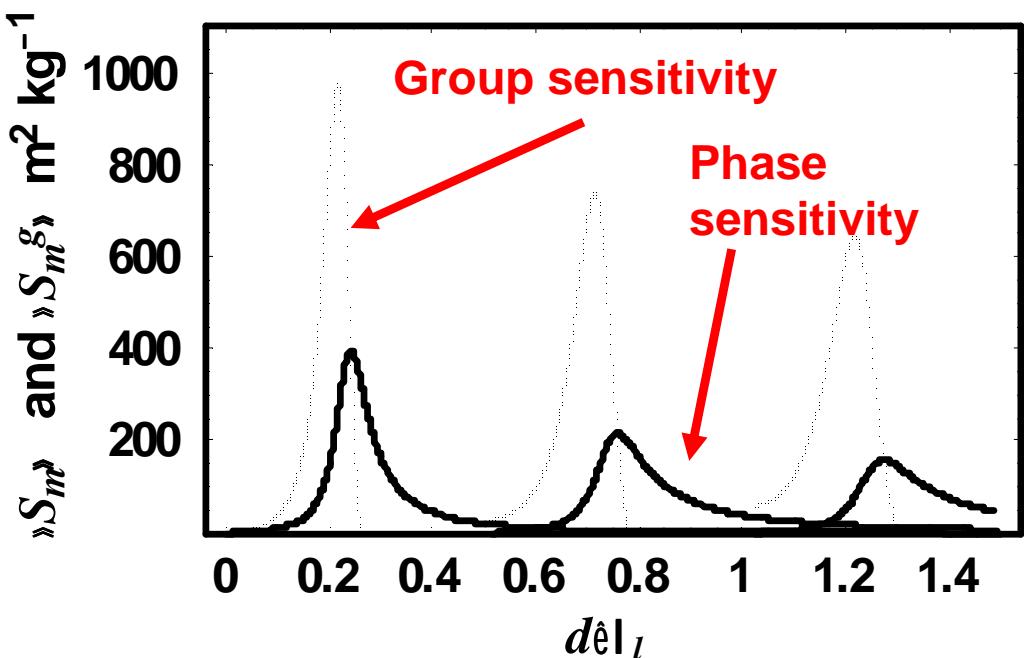
$$S_m \approx \frac{1}{\rho_l d} \left(1 - \frac{\nu}{v_g} \right) = \frac{1}{\rho_l d} \frac{(v_g - \nu)}{v_g}$$

"Rigid" mass

Mass sensitivity is fractional deviation of the phase velocity from the group velocity divided by mass per unit area due to the guiding layer

- Define a Group Velocity Sensitivity

$$S_m^g = \frac{f_o}{\rho_l v_l} \left(\frac{d \log_e v_g}{dz} \right)_{z=z_o}$$



Love Waves and Higher Frequency

- Established QCM Sensor Principle

$$\begin{array}{lll} \text{Mass sensitivity} & \propto & \text{Fundamental frequency} \\ \text{Higher frequency} & \Rightarrow & \text{Higher mass sensitivity} \end{array}$$

- Love Waves on a (Semi-) Infinite Substrate

Controlling dimensionless variable is $z = d/\lambda_l = df/v_l$

$$S_m = \lim_{\Delta m \rightarrow 0} \frac{1}{\Delta m} \left(\frac{\Delta v}{v_o} \right) \approx \frac{f_o}{\rho_l v_l} \left(\frac{d \log_e v}{dz} \right)_{z_0}$$

$$\begin{array}{lll} \text{Mass Sensitivity} & \propto & \text{Frequency} \times \text{Function of } z_0 \\ \text{Normalized thickness at operating point} & & z_0 \propto d \times f \end{array}$$

Higher Frequency Operation^{1,2}

Routes

1. Increase fundamental frequency
2. Hop the frequency to a harmonic

Issues

1. Change of Love wave mode?
2. Const. guiding layer thickness?

- Frequency Increase at Constant z_0

Reduce d as $1/f$

\Rightarrow No change on dispersion curve

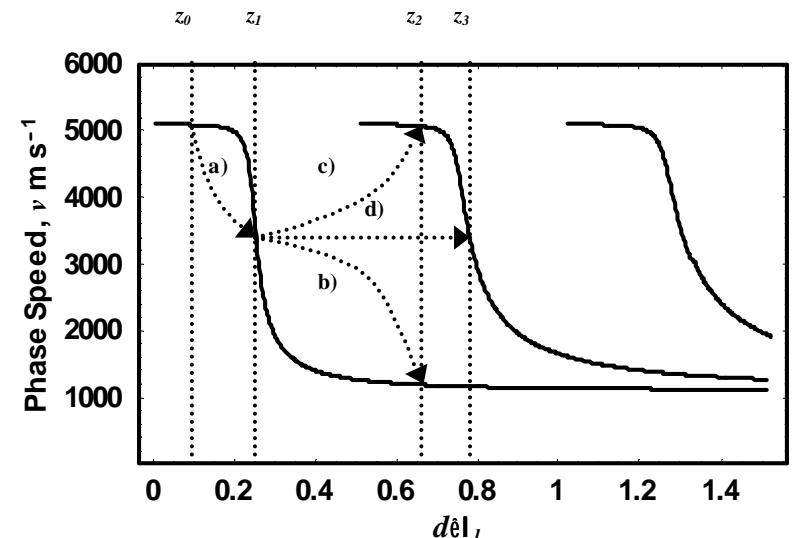
\Rightarrow Mass sensitivity scales with f

- Frequency Hopping at Constant d

Four example transitions

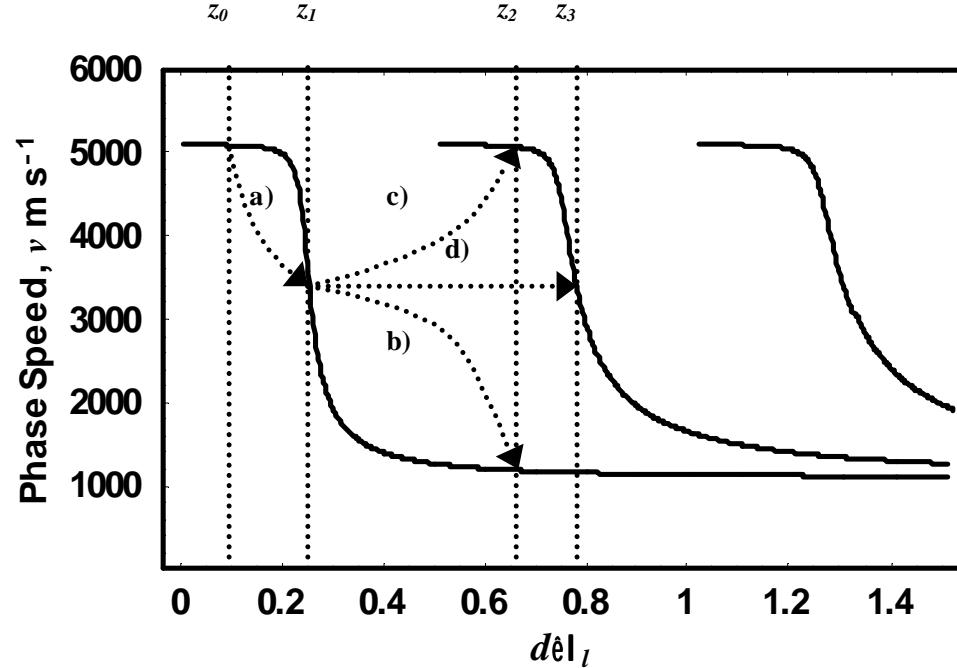
Same mode \Rightarrow lower/higher sensitivity

Change mode \Rightarrow lower/higher sensitivity



¹M.I. Newton *et al*, Electron. Lett. 36 (2001) 340-341; ²G. McHale *et al*, J. Appl. Phys. Accepted (2002)

Frequency Hopping Transitions



No Mode Change

- Transition a) \Rightarrow Higher mass sensitivity
Transition b) \Rightarrow Lower mass sensitivity

Mode Change

- Transition c) \Rightarrow Lower mass sensitivity
Transition d) \Rightarrow Higher mass sensitivity

Maximum Increase in Mass Sensitivity

Ratio of frequencies \times ratio of max slopes of modes
i.e. scales by less than by the frequency ratio

Summary

Achievements

- Unifying theory
Love wave and SH-APM's
- New sensor
Layer-guided SH-APM's
- Mass sensitivity predictions
Phase velocity
Relation to group velocity
- Love wave frequency response
Mode and non-mode changes

Lessons

- Higher order Love waves
from SH-APM's
- Guiding layer on SH-APM's
significant increase in sensitivity
- Higher frequency
Higher or lower sensitivity
Frequency scaling of mode peak
- Love waves \Rightarrow strong dispersion
Group and phase velocity differ

The End
